

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" No. EL749033580US

Date of Deposit: March 26, 2001

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Type or Print Name: CAROL MARSTALLER

*Carol Marstaller* . . . .  
Signature

**FRACTIONAL REUSE THROUGH CHANNEL ALLOCATION TIERING**

**CROSS-REFERENCE TO RELATED APPLICATION**

5           This Application for Patent claims the benefit of priority from, and hereby incorporates by reference the entire disclosure of, co-pending U.S. Provisional Application for Patent Serial No. 60/211,841, filed June 15, 2000.

10       **BACKGROUND OF THE PRESENT INVENTION**

**Field of the Invention**

          The present invention relates generally to a cellular communications system and in particular to increase the spectral efficiency of the cellular communications system

using fractional reuse through channel allocation tiering (CHAT).

**Background and Objects of the Present Invention**

5           Frequency Division Multiple Access (FDMA) and Time  
Division Multiple Access (TDMA) are widely accepted multiple  
access communication methods currently adopted in the  
wireless industry. Particularly, the combination of both  
multiple access methods, e.g., Global System for Mobile  
10   communications (GSM), is the most popular and global wireless  
communication access method. Due to the rapidly increasing  
demand and use of mobile radio communications, new services  
and features are entering the market. These services, e.g.,  
audio and video, require the network to support high bit rate  
15   services at the same time as traditional speech services  
continue to expand. With the acquisition of new sites  
becoming increasingly difficult, efficient utilization of the  
limited frequency spectrum is required, at the same ensuring  
that link quality is maintained at an acceptable level.

20           To illustrate techniques that enhance spectral  
efficiency, consider the commonly used Global System for  
Mobile communications (GSM). GSM operates in either the 900

5 MHz, the 1800 MHz or the 1900 MHz frequency ranges. Taking  
for example, GSM operating in the 900 MHz range, there are  
two frequency bands allocated for uplink and downlink  
traffic. Each frequency band is frequency divided into a  
number of frequency carriers, e.g., 124 frequency carriers,  
each of which utilizes 200 kHz of spectrum. Each carrier is  
further divided into time slots, e.g., eight time slots. In  
general, one base station can contain one or more sector  
cells. In each sector cell, there are a number of  
10 communication units, e.g., transceivers.

Radio resource (RR) hopping can be implemented in the  
system. The best example of RR hopping is frequency hopping.  
Frequency hopping schemes can be used in the system, in  
addition to other schemes, to enhance performance and reduce  
15 interference, as is well known in the art. In this instance,  
each of the transceivers may operate at one specific  
frequency and the signals hop from one transceiver to  
another, e.g., baseband frequency hopping, or in some cases  
each transceiver utilizes several frequencies, by hopping  
20 between frequencies, and transmits only one signal all the  
time, e.g., synthesized frequency hopping.

In general, when a service session radio resource is changed through time, interference is reduced because the service session is time and frequency dependent. For example, a dip in the transmission channel due to multipath fading or other factors affecting the channel usually occurs at a frequency in a given instant of time. When the channel frequency hops from one frequency to the next, the dip occurring in the channel will only affect this channel during a part of the dip while at this frequency or even only at a time slot in this frequency. Therefore frequency hopping improves performance, particularly in combination with coding and interleaving. Moreover, planning of cellular networks is done to improve spectral efficiency using a number of methods such as frequency hopping and adaptive antennas. Frequency hopping could be used to reduce the effect of interference in a system, as described hereinabove. Adaptive antennas could also be used, as could a number of other techniques such as link adaptation, transmitter diversity, and advanced receiver algorithms.

The combination of a number of such techniques could increase spectral efficiency to the point where fully loaded one-reuse networks are likely to become feasible in terms of

service quality. Taking spectral efficiency beyond fully loaded one-reuse means either introducing complicated statistical multiplexing techniques or reusing the same frequency multiple times within a cell. The latter  
5 alternative is simpler and provides a smooth evolution for existing mobile communications systems. If frequencies are to be reused multiple times within a cell, methods are needed to manage the potentially severe intracell interference that could occur. One technique is so-called Spatial Division  
10 Multiple Access (SDMA) which utilizes narrow-beam adaptive antennas to allocate the same radio resources to users in different directions from the base station. The drawback with SDMA is that mobility management is complicated, since complex intracell handover and beam management algorithms are  
15 needed if users on the same channel are to be kept spatially separated. Moreover, diversity combining from multiple beams can generally not be used since it either introduces intracell interference or further complicates beam management or both. Intracell interference will cause severe performance  
20 degradation since there is no interference diversity within the cell and there is no possibility to use interference

suppression techniques. This is because RR hopping sequences and codes, where used, are allocated on a per cell basis.

5 A better option is the simpler technique described hereinbelow, that can raise the spectral efficiency of cellular networks and make fully loaded one-reuse networks effectual in terms of service quality without requiring complicated mobility management and statistical multiplexing procedures. The present invention overcomes the limit of fully loaded one-reuse networks in terms of a lack of  
10 available channels without dramatically increasing the complexity of the system. The invention described hereinafter is both a technique to raise the spectral efficiency of cellular networks and a method to enable reuse less than one, also known as fractional reuse.

15

#### **SUMMARY OF THE INVENTION**

The present invention describes a method and system for fractional reuse of resources through channel allocation tiering (CHAT), thereby increasing the spectral efficiency  
20 of the telecommunications system. A number of available channels in a cell are divided into logical groups, each of which partially or fully share the same radio resources. The

system reduces or eliminates collision using a number of techniques, such as interference diversity, interference suppression and/or interference avoidance. This utilization of resources in the system will create a spectrally efficient  
5 network or cell and enable a reuse less than one.

**BRIEF DESCRIPTION OF THE DRAWINGS**

A more complete understanding of the method and apparatus of the present invention may be obtained by  
10 reference to the following Detailed Description when taken in conjunction with the accompanying Drawings wherein:

FIGURE 1 illustrates an exemplary cell layout in a cellular system in accordance with the present invention;

FIGURE 2 illustrates an exemplary base station for  
15 performing the channel allocation tiering (CHAT) technique of the present invention; and

FIGURE 3 illustrates the channel allocation tiering (CHAT) concept of the present invention in a cellular system.

**20 DETAILED DESCRIPTION OF THE PREFERRED EXEMPLARY EMBODIMENTS**

The numerous innovative teachings of the present application will be described with particular reference to

the presently preferred exemplary embodiments. However, it should be understood that this class of embodiments provides only a few examples of the many advantageous uses of the innovative teachings herein. In general, statements made in  
5 the specification of the present application do not necessarily delimit any of the various claimed inventions. Moreover, some statements may apply to some inventive features but not to others.

FIGURE 1 illustrates an exemplary cell layout in a  
10 wireless radio communication system. In a wireless radio communication system, a cell 15 is divided into a number of sectors, e.g., 3 sectors or 6 sectors. Each sector has an antenna 35a that sends and receives signals to the mobile stations in that sector. As will be explained, hereinbelow,  
15 with respect to FIGURE 3, the available channels, in a cell or in all sectors, will share the same radio resources. In an embodiment of the present invention, the available channels partially or fully sharing the same resources are preferably spatially separated, e.g., channels available in  
20 one sector will share the same radio resources with channels available in another sector. As will be explained in detail hereinafter with reference to FIGURE 3, available channels



that share the same radio resources are often, but not necessarily, spatially separated, have different training sequences, and have different radio resources hopping sequences.

5           FIGURE 2 illustrates a base station in a cell having a number of transceivers 55. Each transceiver as described hereinafter is associated with a channel. The channels are divided into groups, called tiers, wherein all tiers can partially or fully share the same radio resources available  
10   in the cell. The base station is connected 75 to a Mobile Switching Center (MSC), to other base stations and to other network elements, as well known in the art. Also, the channels in each group may be sent using different antennas (35a, 35c), where the antennas are spatially separated. The  
15   base station includes a divider 65 that divides the transceivers 55 and a controller 70 that controls the radio resources hopping sequence. The controller also is in charge of the training sequence assignments and other necessary functionalities described hereinafter with reference to  
20   FIGURE 3.

The present invention increases the spectral efficiency performance of a cellular radio communication system using

fractional reuse through channel allocation tiering (CHAT).  
FIGURE 3 illustrates the channel allocation tiering (CHAT)  
concepts in a preferred embodiment of the present invention.  
A number of channels ( $N_{ch}$ ) are commonly used in each cell.

5 One or more channels correspond to each user depending on the  
service provided or the user demand. In a preferred  
embodiment of the present invention, each channel utilizes  
one transceiver. For example, if a user is wishing to have  
high speed access to services such as video and/or audio  
10 streaming, the service for the user is allocated several  
channels. These several channels could be represented by a  
longer time period in a TDMA system. The high rate services  
will necessitate a number of transceivers each corresponding  
to a channel. However, in some cases, the carrier bandwidth  
15 is limited, i.e., the frequencies and/or time slots are fully  
used and sharing is required to accommodate the number of  
transceivers used to perform the services in the cell. This  
as mentioned herein will provide reuse of less than one in  
the system. Channels are split into a number of logical  
20 groups (channel tiers), each logical group being of a maximum  
size  $N_{rr}$ . This maximum size  $N_{rr}$  of each channel tier being the  
number of radio resource (RR) units allocated to each cell.

The fractional reuse of the channel allocation tiering (CHAT) is made by sharing these radio resources among different channels in different tiers. In a preferred embodiment of the present invention, the available channels 110 are split into

5 a number of logical groups "channel tiers", e.g., two tiers as shown in FIGURE 3. The two tiers, tier 1 (115) and tier 2 (125), utilize the same radio resources 105 in the system. Two channels, one from tier 1 (115a) and one from tier 2 (125a), share the same radio resource 105a. In general, all

10 tiers partially or fully share the same radio resources. However, according to a preferred embodiment of the present invention sharing is very flexible. A channel may share its resources with other channels in different tiers, or it may use the available radio resource by itself without sharing.

15 When sharing is performed by the channels, reduction in the number of collisions is required. A number of techniques may be used to achieve the lowest possible collision rate. According to a preferred embodiment of the present invention, all tiers are allocated different radio resources. (RR)

20 hopping sequences. Also, interference suppression techniques may be employed in the receivers. Moreover, the interference suppression techniques may be further enhanced by employing

different training sequences for each tier. A further feature in the system that may reduce the collision rate is by employing interference avoidance. This interference avoidance may be accomplished by spatial separation using adaptive  
5 antennas, enforced silence or/and spatial separation or any other known technique. Interference diversity, interference suppression and interference avoidance techniques described herein may be used separately, or to achieve maximum performance all three mechanisms could be used concurrently.

10 Interference diversity, as mentioned above, could be employed in the system wherein each of the logical groups or channel tiers are allocated different radio resource (RR) hopping sequences. For example, a different frequency hopping sequence may be used for each tier. This could be defined by  
15 the Hopping Sequence Number (HSN) which determines the actual hopping sequence. A Mobile Allocation Index Offset (MAIO) management method may be used to distribute hopping sequences among the different mobiles within a tier. This will remove any co-channel interference within each tier. Each tier can  
20 have a different hopping sequence, which will lessen the effect of interference by introducing interference diversity.

Each logical group or channel tier is allocated a different training sequence. For example, each channel tier is allocated one of the eight training sequences as stated in the GSM standards. However, additional training sequences  
5 that have better cross-correlation properties, could preferably be used. Nonetheless, if using the currently available training sequences, which have sub-optimal cross-correlation properties, a timing offset could be implemented between the tiers to reduce the correlation between the  
10 training sequences. This is likely to boost the performance of the interference suppression algorithms used in the receivers. Any of the interference suppression algorithms either for single or multi-branch receivers, which are well known in the art, could be used in the preferred embodiments  
15 of the present invention.

Interference avoidance, as described hereinabove, may be implemented in the system to avoid interference. For example, this interference avoidance may be implemented using spatial separation through the use of adaptive antennas in  
20 the cell. Multiple beamforming adaptive antennas may be used in sectors of the cell to reduce the interference between users in that cell by spatially separating them. Moreover,

enforced silence may be employed to avoid intracell interference. A silence burst may be forced on a user channel having the most interference in the cell. These silence bursts should be managed and considerably few in number to  
5 avoid any quality degradation. This silence burst may preferably silence interferers based on a Quality-of-Service (QoS) profile of the service being used. The enforced silence may be used in any system that has the ability to use interference suppression techniques, as mentioned  
10 hereinabove, or it may be used in systems in which interference suppression techniques mentioned hereinabove are not possible.

In a preferred embodiment of the present invention a cellular telecommunications network has a number of  
15 frequencies and a traffic load that necessitates the use of a number of transceivers in each cell. When the number of needed transceivers is greater than the number of frequencies in that same cell, fractional reuse, as described hereinabove, is the ideal method that may be used to increase  
20 the spectral efficiency of the network or cell. This is usually the case in cells where the number of transceivers exceeds the number of available frequencies. In this case,

the number of transceivers are divided into multiple logical groups, where each logical group is characterized by a different training sequence, a different hopping sequence, and are preferably spatially separated.

5           For example, in a GSM/EDGE network with 15 non-broadcast control channel (non-BCCH) frequencies and a traffic load requiring 20 installed transceivers per cell, the 20 transceivers, each associated with a channel, could be divided into two 10-transceiver groups (channel tiers).  
10 Channels from the two tiers will share the 15 available frequencies. The collision rate is reduced by having each tier use a different hopping sequence. Other techniques such as spatial separation between tiers and/or different training sequences in each tier may be used to avoid/reduce  
15 interference in the system, as described in detail hereinabove.

          The amount which the channel tiers in a cell will interfere with each other is dependent on the load in each tier. The allocation of traffic between the tiers will  
20 therefore affect the interference levels in the other tiers. An intelligent channel allocation algorithm has the potential to maximize performance of the various services operating in

the CHAT cell. Hence, channel allocation to the different tiers should preferentially be made with consideration of the current load in the tiers, any measure of the prevailing quality of the active service sessions, the Quality-of-Service (Qos) requirements of the service sessions, the direction of the different users with respect to the base station, the distance of the different users from the base station, the pathloss of the different users from the base station or equivalently their received signal strengths, the geographical or radio distance from the different users to the cell border, or any combination of the above.

As mentioned earlier, channel allocation tiering (CHAT) is preferably used when the number of frequencies is less than the number of channels/transceivers in the system. However, CHAT may be used in systems where the number of frequencies are more than the number of required transceivers. The number of transceivers, in this case, are preferably divided into groups to allow a lower hardware load of e.g.,  $1/3$ . For example, if we have 18 non-BCCH frequencies and traffic that requires 12 transceivers, it could be preferred to divide the 12 transceivers into two 6-transceiver tiers. This allows the hardware load to be  $6/18$ , which is a third which allows inter-base station



synchronization and MAIO management to remove the intrasite co-channel interference. In other words, all co-channel interference within a tier group is reduced/removed. Moreover, the above mentioned interference diversity, interference suppression and/or interference avoidance techniques may be used. This will further reduce the probability of co-channel intrasite collision.

As will be recognized by those skilled in the art, the innovative concepts described in the present application can be modified and varied over a wide range of applications. Accordingly, the scope of patented subject matter should not be limited to any of the specific exemplary teachings discussed, but is instead defined by the following claims.